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# 1. Non-modularity in higher-order

Vincent van Oostrom

UU

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## 2. Modularity

Properties preserved by taking union?

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### 3. First-Order Modularity

Many modular properties, e.g.

- Confluence
- Normalisation
- Left-linear completeness

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### 3.1. Proof Technique: Induction on Rank

Rank = number of alternating layers

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### 3.2. Connecting $\Rightarrow$ Destructive Collapsing

$$f(a) \rightarrow b$$

$$g(x) \rightarrow x$$

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### 3.2. Connecting $\Rightarrow$ Destructive Collapsing

$$f(a) \rightarrow b$$

$$g(x) \rightarrow x$$

$$f(g(a)) \rightarrow f(a)$$

destructive collapse!

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### 3.3. Orthogonal Acyclicity

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## 3.4. Least common collapsing reduction



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## 4. Higher-Order Modularity

Almost no property modular!

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## 4.1. Connecting $\neq$ Destructive Collapsing

$$\mu(x.Z(x)) \rightarrow Z(\mu(x.Z(x)))$$

$\mu$ -recursion rule

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## 4.1. Connecting $\neq$ Destructive Collapsing

$$\mu(x.Z(x)) \rightarrow Z(\mu(x.Z(x)))$$

$\mu$ -recursion rule

connects context with subterm without collapse!

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## 4.2. Termination

TRSs: fails (Toyama)

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## 4.2. Termination

TRSs: fails (Toyama)

$$f(a, b, x) \rightarrow f(x, x, x)$$

$$g(x, y) \rightarrow x$$

$$g(x, y) \rightarrow y$$



## 4.2. Termination

TRSs: fails (Toyama)

$$f(a, b, x) \rightarrow f(x, x, x)$$

$$g(x, y) \rightarrow x$$

$$g(x, y) \rightarrow y$$

$$\underline{f(a, b, g(a, b))}$$

$$\rightarrow \underline{f(g(a, b), g(a, b), g(a, b))}$$

$$\rightarrow \underline{f(a, g(a, b), g(a, b))}$$

$$\rightarrow f(a, b, g(a, b))$$

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### 4.3. Confluence

TRSs: holds (Toyama)

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### 4.3. Confluence

TRSs: holds (Toyama)

PRSs: fails (Klop)



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### 4.3. Confluence

TRSs: holds (Toyama)

PRSs: fails (Klop)

$$f(x, x) \rightarrow a$$

$$f(x, s(x)) \rightarrow b$$

$$\mu(x.Z(x)) \rightarrow Z(\mu(x.Z(x)))$$



### 4.3. Confluence

TRSs: holds (Toyama)

PRs: fails (Klop)

$$f(x, x) \rightarrow a$$

$$f(x, s(x)) \rightarrow b$$

$$\mu(x.Z(x)) \rightarrow Z(\mu(x.Z(x)))$$

$$f(\mu(x.s(x)), \mu(x.s(x))) \rightarrow a$$

$$f(\mu(x.s(x)), \mu(x.s(x)))$$

$$\rightarrow f(\mu(x.s(x)), s(\mu(x.s(x))))$$

$$\rightarrow b$$

$\mu$ -rule increases rank!

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## 4.4. Left-linear Confluence

TRSs: holds (Rosen)

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## 4.4. Left-linear Confluence

TRSs: holds (Rosen)

PRSs: holds ( $\nu O$ )

*Proof.* Hindley–Rosen Lemma + commutation of steps  
from distinct TRSs □

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## 4.5. Left-linear Completeness

TRSs: holds (Marchiori)

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## 4.5. Left-linear Completeness

TRSs: holds (Marchiori)

PRSs: fails

$$f(x.x, xy.Z(x, y)) \rightarrow g(Z(a, f(x.Z(x, a), xy.Z(x, y))))$$

$$h(x, y) \rightarrow x$$



## 4.5. Left-linear Completeness

TRSs: holds (Marchiori)

PRs: fails

$$f(x.x, xy.Z(x, y)) \rightarrow g(Z(a, f(x.Z(x, a), xy.Z(x, y))))$$

$$h(x, y) \rightarrow x$$

$$\underline{f(x.x, xy.h(x, y))}$$

$$\rightarrow g(h(a, f(x.\underline{h(x, a)}, xy.h(x, y))))$$

$$\rightarrow g(h(a, f(x.x, xy.\underline{h(x, y)})))$$

$$t \rightarrow g(h(a, t))$$

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## 4.6. Normalisation

TRSs: easy induction on terms



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## 4.6. Normalisation

TRSs: easy induction on terms

PRSs: fails

$$f(x.Z(x), y.y) \rightarrow f(x.Z(x), y.Z(Z(y)))$$

$$f(x.x, y.Z(y)) \rightarrow a$$

$$g(g(x)) \rightarrow x$$



## 4.6. Normalisation

TRSs: easy induction on terms

PRSs: fails

$$f(x.Z(x), y.y) \rightarrow f(x.Z(x), y.Z(Z(y)))$$

$$f(x.x, y.Z(y)) \rightarrow a$$

$$g(g(x)) \rightarrow x$$

$$f(x.g(x), y.y) \leftrightarrow f(x.g(x), y.g(g(y)))$$

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## 4.7. Orthogonal Acyclicity

TRSs: holds (vO)



## 4.7. Orthogonal Acyclicity

TRSs: holds (vO)

PRSs: fails

$$\begin{aligned} & f(xyz.Z(x, y, z), W, V) \\ & \rightarrow Z(W, Z(V, W, f(xyz.Z(x, y, z), W, V)), V) \end{aligned}$$

$$g(a, x, y) \rightarrow x$$

$$g(b, x, y) \rightarrow y$$



## 4.7. Orthogonal Acyclicity

TRSs: holds (vO)

PRSs: fails

$$\begin{aligned} & f(xyz.Z(x, y, z), W, V) \\ & \rightarrow Z(W, Z(V, W, f(xyz.Z(x, y, z), W, V)), V) \end{aligned}$$

$$g(a, x, y) \rightarrow x$$

$$g(b, x, y) \rightarrow y$$

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$$\underline{f(xyz.g(x, y, z), a, b)}$$

$$\rightarrow \underline{g(a, g(b, a, f(xyz.g(x, y, z), a, b)), b)}$$

$$\rightarrow \underline{g(b, a, f(xyz.g(x, y, z), a, b))}$$

$$\rightarrow f(xyz.g(x, y, z), a, b)$$

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## 5. Concluding Remarks

- PRSs have new form of connexions
- Modularity fails for 2nd order PRS (CRS) + TRS
- Modularity fails for  $\lambda$ -calculus + TRS
- Modularity fails for 'closed' fragments
- Search for interesting modular subclasses