

Confluence via critical valleys

Vincent van Oostrom

Universiteit Utrecht

HOR, Nagoya, June 2, 2012

Critical peak
systems

Higher-order
pattern rewrite
systems

Development
closed critical
peaks

Critical valley
systems



Universiteit Utrecht

Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems

Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



Critical peak systems

Definition (Hirokawa and Middeldorp)

term rewrite system \mathcal{T} is a **critical peak system** if

- ▶ left-linear (LL);

Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



Critical peak systems

Definition (Hirokawa and Middeldorp)

term rewrite system \mathcal{T} is a **critical peak system** if

- ▶ left-linear (LL);
- ▶ joinable critical pairs (JCP);

Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



Critical peak systems

Definition (Hirokawa and Middeldorp)

term rewrite system \mathcal{T} is a **critical peak system** if

- ▶ left-linear (LL);
- ▶ joinable critical pairs (JCP);
- ▶ **critical peak rules** terminating modulo, $\text{SN}(\text{CPR}(\mathcal{T})/\mathcal{T})$

$$\text{CPR}(\mathcal{T}) = \{t \rightarrow t_i \mid t_0 \leftarrow t \rightarrow t_1 \text{ is a critical peak of } \mathcal{T}\}$$

$$\text{CPR}(\mathcal{T})/\mathcal{T} = \twoheadrightarrow_{\mathcal{T}} \cdot \rightarrow_{\text{CPR}(\mathcal{T})} \cdot \twoheadrightarrow_{\mathcal{T}}$$

Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



Critical peak rules



Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



Universiteit Utrecht

Critical peak rules



Critical peak systems

Higher-order pattern rewrite systems

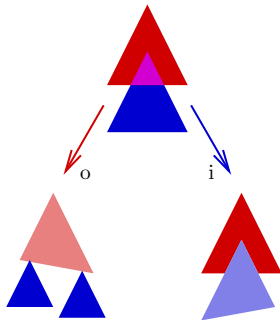
Development closed critical peaks

Critical valley systems



Universiteit Utrecht

Critical peak rules



Critical peak systems

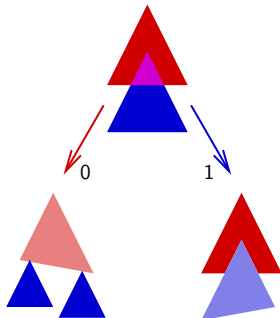
Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



Critical peak rules



Critical peak systems

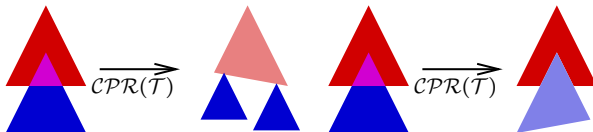
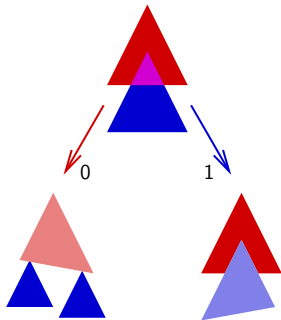
Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



Critical peak rules



Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



Critical peak system examples

- ▶ $T = \{a \rightarrow b, a \rightarrow c, b \rightarrow c, c \rightarrow c\};$
 $(CPR(T) = \{a \rightarrow b, a \rightarrow c\})$

Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



Critical peak system examples

- ▶ $\mathcal{T} = \{a \rightarrow b, a \rightarrow c, b \rightarrow c, c \rightarrow c\};$
 $(CPR(\mathcal{T}) = \{a \rightarrow b, a \rightarrow c\})$
- ▶ $\mathcal{T} = \{f(f(x)) \rightarrow x, c \rightarrow c\};$
 $(CPR(\mathcal{T}) = \{f(f(f(x))) \rightarrow f(x)\})$

Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



Critical peak system examples

- ▶ $\mathcal{T} = \{a \rightarrow b, a \rightarrow c, b \rightarrow c, c \rightarrow c\};$
 $(CPR(\mathcal{T}) = \{a \rightarrow b, a \rightarrow c\})$
- ▶ $\mathcal{T} = \{f(f(x)) \rightarrow x, c \rightarrow c\};$
 $(CPR(\mathcal{T}) = \{f(f(f(x))) \rightarrow f(x)\})$
- ▶ orthogonal $\mathcal{T};$
(LL and non-overlapping, $CPR(\mathcal{T}) = \emptyset$)

Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



Critical peak system examples

- ▶ $\mathcal{T} = \{a \rightarrow b, a \rightarrow c, b \rightarrow c, c \rightarrow c\};$
 $(CPR(\mathcal{T}) = \{a \rightarrow b, a \rightarrow c\})$
- ▶ $\mathcal{T} = \{f(f(x)) \rightarrow x, c \rightarrow c\};$
 $(CPR(\mathcal{T}) = \{f(f(f(x))) \rightarrow f(x)\})$
- ▶ orthogonal $\mathcal{T};$
(LL and non-overlapping, $CPR(\mathcal{T}) = \emptyset$)
- ▶ LL and terminating \mathcal{T} with JCP.
 $(\rightarrow_{CPR(\mathcal{T})} \subseteq \rightarrow_{\mathcal{T}})$

Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



Critical peak systems are confluent

Theorem (Hirokawa & Middeldorp)

critical peak systems are confluent

Proof.

will show multisteps \rightarrow^* are confluent

Critical peak
systems

Higher-order
pattern rewrite
systems

Development
closed critical
peaks

Critical valley
systems



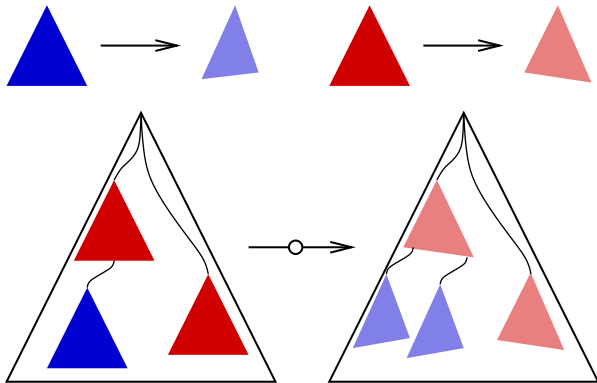
Critical peak systems are confluent

Theorem (Hirokawa & Middeldorp)

critical peak systems are confluent

Proof.

multistep contracts non-overlapping redex-patterns



Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



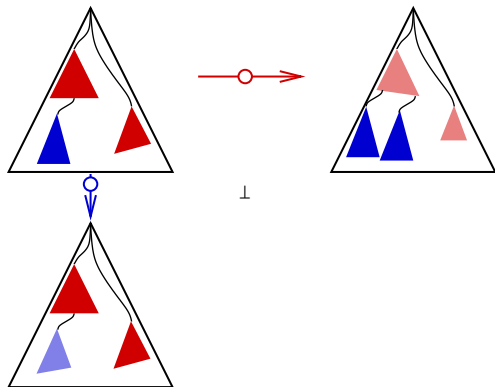
Critical peak systems are confluent

Theorem (Hirokawa & Middeldorp)

critical peak systems are confluent

Proof.

multisteps **commute** if redex-patterns orthogonal \perp



Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



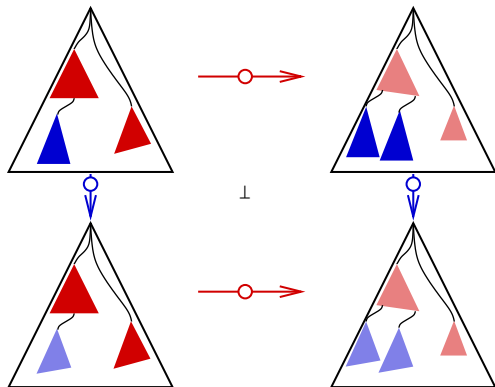
Critical peak systems are confluent

Theorem (Hirokawa & Middeldorp)

critical peak systems are confluent

Proof.

multisteps **commute** if redex-patterns orthogonal \perp



Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



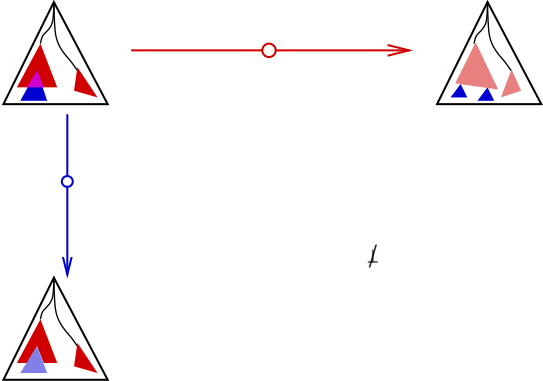
Critical peak systems are confluent

Theorem (Hirokawa & Middeldorp)

critical peak systems are confluent

Proof.

multisteps **factor** if redex-patterns non-orthogonal \nmid



Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



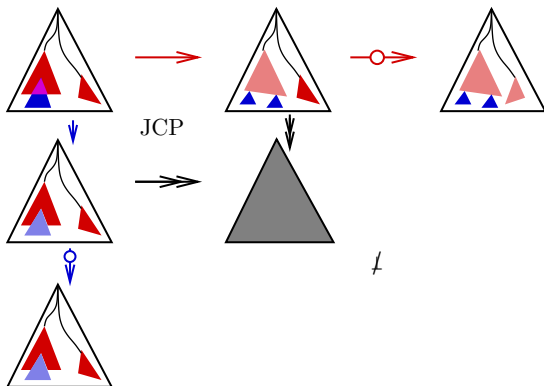
Critical peak systems are confluent

Theorem (Hirokawa & Middeldorp)

critical peak systems are confluent

Proof.

multisteps **factor** if redex-patterns non-orthogonal \nmid



Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



Universiteit Utrecht



Critical peak systems are confluent

Theorem (Hirokawa & Middeldorp)

critical peak systems are confluent

Proof.

labeled multistep $t \dashrightarrow_{t'} s$ iff $t' \twoheadrightarrow t \dashrightarrow s$

Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



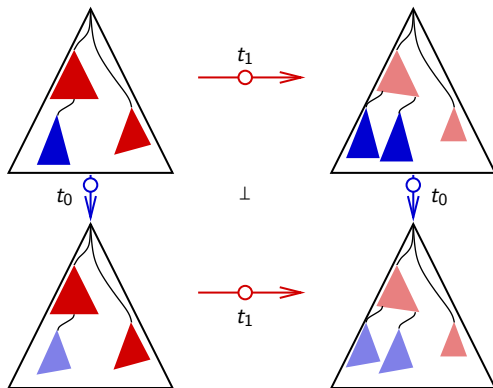
Critical peak systems are confluent

Theorem (Hirokawa & Middeldorp)

critical peak systems are confluent

Proof.

labeling orthogonal peak $s \xleftarrow{t_0} t \xrightarrow{t_1} r$



Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



Universiteit Utrecht



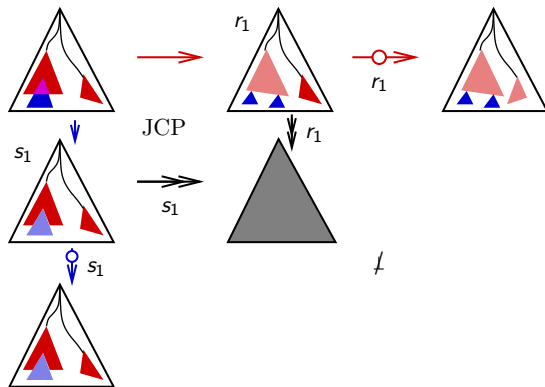
Critical peak systems are confluent

Theorem (Hirokawa & Middeldorp)

critical peak systems are confluent

Proof.

labeling non-orthogonal peak $s \leftarrow \circlearrowleft_{t_0} t \rightarrow \circlearrowright_{t_1} r$



Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



Universiteit Utrecht



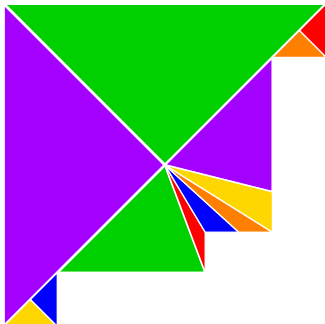
Critical peak systems are confluent

Theorem (Hirokawa & Middeldorp)

critical peak systems are confluent

Proof.

ordering labels by $CPR(T)/T$ makes both cases **decreasing**



rainbow order on colours

Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



Universiteit Utrecht



Generalising critical peak systems

generalisations of theorem in this talk:

Critical peak
systems

Higher-order
pattern rewrite
systems

Development
closed critical
peaks

Critical valley
systems



Generalising critical peak systems

generalisations of theorem in this talk:

1. from first-order to **higher-order pattern** rewrite systems; (... extension of Theorems 2 and 3 to higher-order pattern rewrite systems (PRSs) as defined by Mayr and Nipkow [19] ...)

Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



Generalising critical peak systems

generalisations of theorem in this talk:

1. from first-order to **higher-order pattern** rewrite systems;
(... extension of Theorems 2 and 3 to higher-order pattern rewrite systems (PRSs) as defined by Mayr and Nipkow [19] ...)
2. from omitting trivial to **development closed** critical peaks;
(... decreasing the set $CPS(\mathcal{T})$ of critical pair steps that need to be relatively terminating with respect to \mathcal{T} . We anticipate that some of the many critical pair criteria for confluence that have been proposed in the literature (e.g. [15, 24, 26]) can be used ...)
(omitting trivial critical pairs due to Middeldorp and Hirokawa)
3. from critical peak to **valley** steps.

Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



Higher-order pattern rewrite systems

Definition

- ▶ **higher-order** term rewrite system (HOTRS) is rewrite system on $\alpha\beta\eta$ -equivalence classes of terms over simply typed signature with lhss and rhss of rules of same type; (Wolfram)

Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



Higher-order pattern rewrite systems

Definition

- ▶ **higher-order** term rewrite system (HOTRS) is rewrite system on $\alpha\beta\eta$ -equivalence classes of terms over simply typed signature with lhss and rhss of rules of same type; (Wolfram)
- ▶ higher-order **pattern** rewrite systems (PRS) is higher-order term rewrite system with lhss of rules 'first-order like'; (Nipkow)

Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



Higher-order pattern rewrite systems

Definition

- ▶ **higher-order** term rewrite system (HOTRS) is rewrite system on $\alpha\beta\eta$ -equivalence classes of terms over simply typed signature with lhss and rhss of rules of same type; (Wolfram)
- ▶ higher-order **pattern** rewrite systems (PRS) is higher-order term rewrite system with lhss of rules 'first-order like'; (Nipkow)
- ▶ $t \dashrightarrow s$ iff $t = C\ell_{i_1} \dots \ell_{i_n}$, $s = Cr_{i_1} \dots r_{i_n}$ for rules $\ell_i \rightarrow r_i$. (the redex-pattern occurrences in t are **orthogonal**)

Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



Higher-order pattern rewrite systems

Definition

- ▶ **higher-order** term rewrite system (HOTRS) is rewrite system on $\alpha\beta\eta$ -equivalence classes of terms over simply typed signature with lhss and rhss of rules of same type; (Wolfram)
- ▶ higher-order **pattern** rewrite systems (PRS) is higher-order term rewrite system with lhss of rules 'first-order like'; (Nipkow)
- ▶ $t \dashv\vdash s$ iff $t = C\ell_{i_1} \dots \ell_{i_n}$, $s = Cr_{i_1} \dots r_{i_n}$ for rules $\ell_i \rightarrow r_i$. (the redex-pattern occurrences in t are **orthogonal**)

Fact

PRS multisteps behave as for first-order term rewriting ($\rightarrow \subseteq \dashv\vdash \subseteq \twoheadrightarrow$, orthogonal multisteps commute, multistep factors through each of its elements)

Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



Pattern rewrite systems are non-borromean

case split between \perp and $\not\perp$ needs

Lemma (patterns non-borromean)

set of redex-patterns is orthogonal iff pairwise orthogonal

Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems

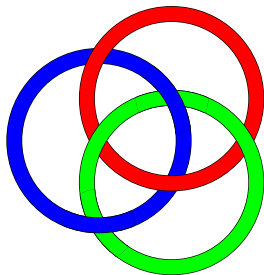


Pattern rewrite systems are non-borromean

case split between \perp and $\not\perp$ needs

Lemma (patterns non-borromean)

set of redex-patterns is orthogonal iff pairwise orthogonal



Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems

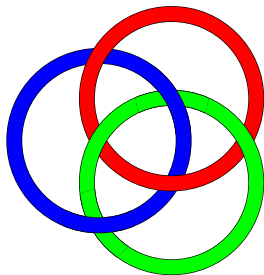


Pattern rewrite systems are non-borromean

case split between \perp and $\not\perp$ needs

Lemma (patterns non-borromean)

set of redex-patterns is orthogonal iff pairwise orthogonal



Theorem

higher-order critical peak systems are confluent

Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



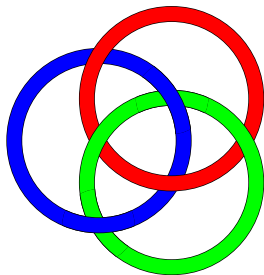
Universiteit Utrecht

Pattern rewrite systems are non-borromean

case split between \perp and $\not\perp$ needs

Lemma (patterns non-borromean)

set of redex-patterns is orthogonal iff pairwise orthogonal



Theorem

higher-order critical peak systems are confluent

generalises confluence by orthogonality and by LL, JCP, SN

Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems

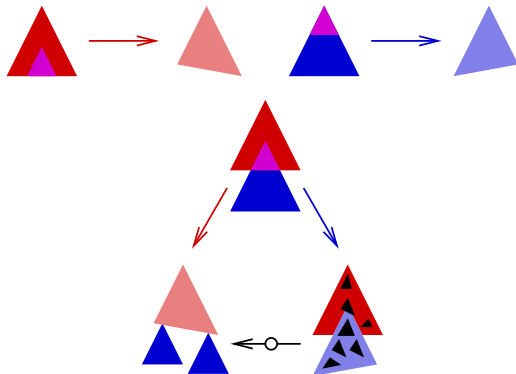


Universiteit Utrecht

Development closed critical peaks

Definition

critical peak is **development closed** if



Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

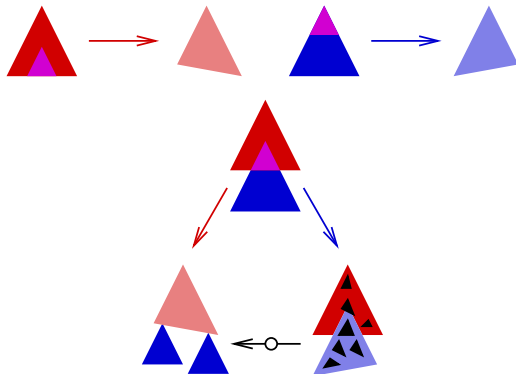
Critical valley systems



Development closed critical peaks

Definition

critical peak is **development closed** if



Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems

Example

$\{f(g(x)) \rightarrow h(c), g(a) \rightarrow i(b), f(i(x)) \rightarrow h(x), b \rightarrow c\}$



Development closed critical peaks

Theorem

higher-order critical peak systems, with only critical peak rules for non-development closed rules, are confluent

Proof.

will show confluence $\rightarrow\rightarrow$ by reduction to earlier cases (\perp and $\not\perp$)

Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



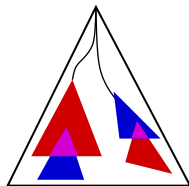
Development closed critical peaks

Theorem

higher-order critical peak systems, with only critical peak rules for non-development closed rules, are confluent

Proof.

by induction on **amount** of overlap $\#$ between multisteps



amount of overlap $\#$

Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



Universiteit Utrecht

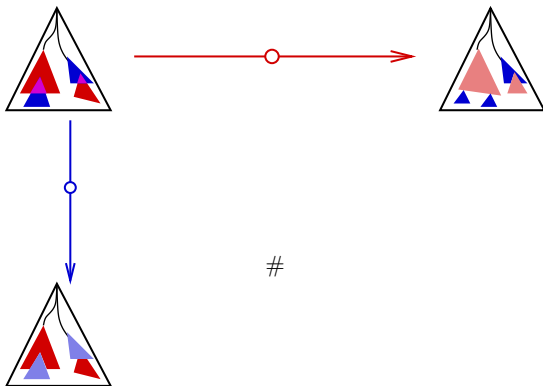
Development closed critical peaks

Theorem

higher-order critical peak systems, with only critical peak rules for non-development closed rules, are confluent

Proof.

labeling development closed peak $s \xleftarrow{t_0} t \xrightarrow{t_1} r$



Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



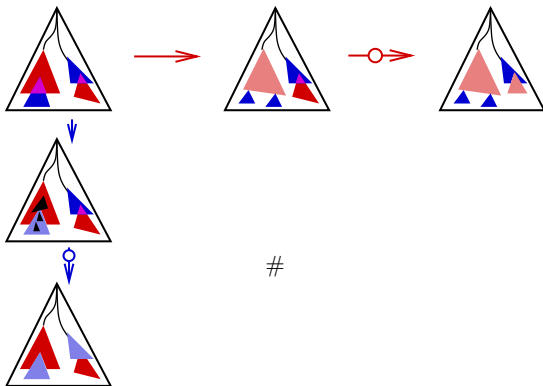
Development closed critical peaks

Theorem

higher-order critical peak systems, with only critical peak rules for non-development closed rules, are confluent

Proof.

labeling development closed peak $s \xleftarrow{\circlearrowleft t_0} t \xrightarrow{\circlearrowright t_1} r$



Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



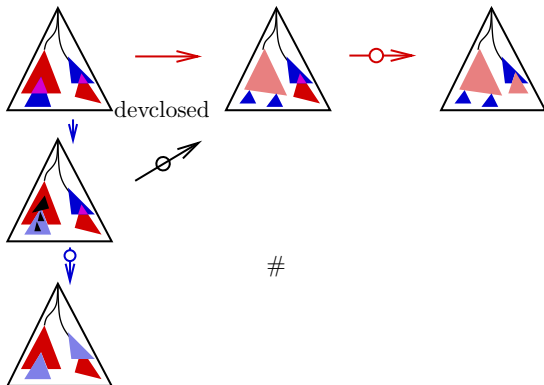
Development closed critical peaks

Theorem

higher-order critical peak systems, with only critical peak rules for non-development closed rules, are confluent

Proof.

labeling development closed peak $s \xleftarrow{\circlearrowleft t_0} t \xrightarrow{\circlearrowright t_1} r$



Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



Universiteit Utrecht

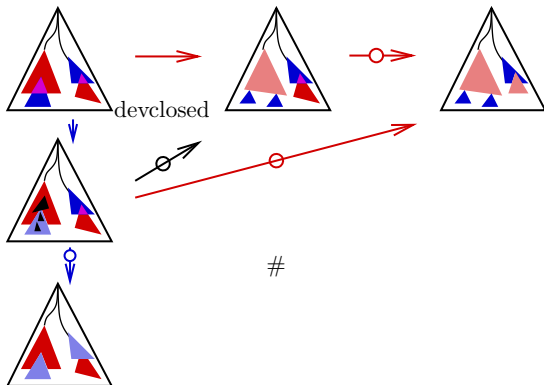
Development closed critical peaks

Theorem

higher-order critical peak systems, with only critical peak rules for non-development closed rules, are confluent

Proof.

labeling development closed peak $s \xleftarrow{t_0} t \xrightarrow{t_1} r$



Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



Universiteit Utrecht

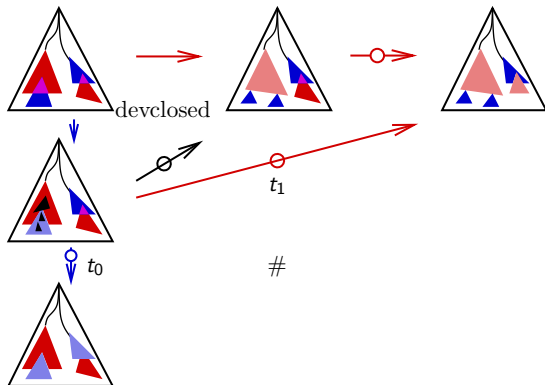
Development closed critical peaks

Theorem

higher-order critical peak systems, with only critical peak rules for non-development closed rules, are confluent

Proof.

labeling development closed peak $s \xleftarrow{t_0} t \xrightarrow{t_1} r$



Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



Universiteit Utrecht

Critical valley systems

Definition

higher-order pattern rewrite system \mathcal{T} is **critical valley system** if

- ▶ left-linear (LL);

Critical peak
systems

Higher-order
pattern rewrite
systems

Development
closed critical
peaks

Critical valley
systems



Critical valley systems

Definition

higher-order pattern rewrite system \mathcal{T} is **critical valley system** if

- ▶ left-linear (LL);
- ▶ joinable critical pairs (JCP);

Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



Critical valley systems

Definition

higher-order pattern rewrite system \mathcal{T} is **critical valley system** if

- ▶ left-linear (LL);
- ▶ joinable critical pairs (JCP);
- ▶ **critical valley rules** terminating modulo, $SN(CVR(\mathcal{T})/\mathcal{T})$;
(see next slide for $CVR(\mathcal{T})$)

Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



Critical valley systems

Definition

higher-order pattern rewrite system \mathcal{T} is **critical valley system** if

- ▶ left-linear (LL);
- ▶ joinable critical pairs (JCP);
- ▶ **critical valley rules** terminating modulo, $\text{SN}(\text{CVR}(\mathcal{T})/\mathcal{T})$;
(see next slide for $\text{CVR}(\mathcal{T})$)
- ▶ development closed peaks do not contribute to $\text{CVR}(\mathcal{T})$.

Critical peak
systems

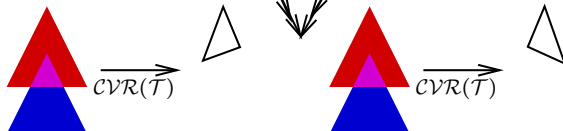
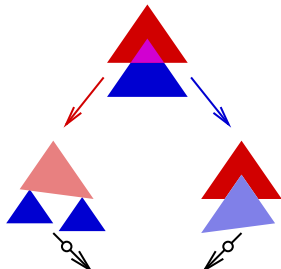
Higher-order
pattern rewrite
systems

Development
closed critical
peaks

Critical valley
systems



Critical valley rules



- Critical peak systems
- Higher-order pattern rewrite systems
- Development closed critical peaks
- Critical valley systems



Critical valley systems are confluent

Theorem

critical valley systems are confluent

Proof.

show decreasingness invariant $\leftarrow \ominus_{t_0} \cdot \ominus_{t_1} \subseteq \ominus_{t_1} \cdot \overset{*}{\leftarrow}_{<} \ominus_{t_0}$

Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



Critical valley systems are confluent

Theorem

critical valley systems are confluent

Proof.

as before, by induction on **amount** of overlap $\#$, and cases \perp , $\not\perp$

Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



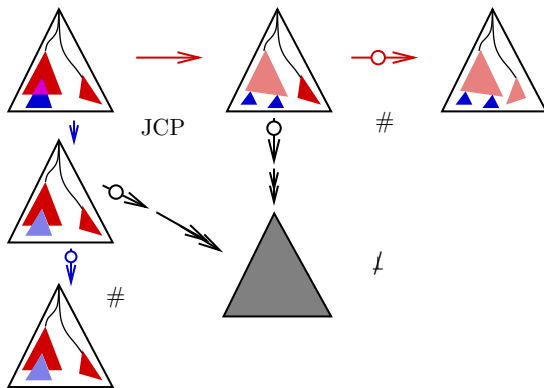
Critical valley systems are confluent

Theorem

critical valley systems are confluent

Proof.

new \neq -case: decrease of amount of overlap $\#$ in both corners



Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



Universiteit Utrecht



Conclusion

- ▶ Extension of critical peak systems in three directions (higher-order, development closed, valley)

Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems



Conclusion

- ▶ Extension of critical peak systems in three directions (higher-order, development closed, valley)
- ▶ Combination with other critical peak criteria?

Critical peak systems

Higher-order pattern rewrite systems

Development closed critical peaks

Critical valley systems

